S3 Peer review in Heraklion

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Peer Review workshops

Design and implementation of a RIS3 is a continuous process:
- Need to adapt and update the strategy
- Transnational learning - learning from each other and together
- Critical friends and critical buddies

- Peer-review workshops:
  - 1° Workshop in Seville (Jan 2012)
  - 2° Workshop in Seville (May 2012)
  - 3° Workshop in Ponta Delgada (June 2012)
  - 4° Workshop in Pisa (Sept 2012)
  - 5° Workshop in Strasbourg (Dec 2012)
  - 6° Workshop in Palma de Mallorca (Feb 2013)
  - 7° Workshop in Brno (March 2013)
  - 8° Workshop in Vaasa (May 2013)
  - 9° Workshop in Budapest (June 2013)
  - 10° Workshop in Faro (July 2013)
  - **11° Workshop in Crete (Sept2013)**
  - **12° Workshop in Potsdam (Nov2013)**

http://s3platform.jrc.ec.europa.eu/peer-review

5 regions being peer reviewed

What kind of questions do they ask you as their critical friends?

How to mobilise stakeholders' active contribution?

How to overcome the risk of a lock in situation when selecting a specific domain?

What indicators to use?

Stakeholderinvolvement in the implementation of the strategies?

How can S3 be implemented in a crisis environment?

How to enhance local Science Technology Innovation (STI) when the global and national STI is very strong?

What approaches should be applied for setting the RIS3 priorities?

Integration of horizontal priorities with vertical priorities?

How to stimulate the interregional cooperation within RIS3?

What pre-conditions are necessary for S3 entrepreneurial activity to take place?

What kind of policies and framework conditions are necessary to strengthen the links within Triple Helix?

Policy Integration: Digital Knowledge Society with R+DI?

4

What happens in the peer review session?

Presentation

Questions to the region

Discussions at tables of 6-10 participants

10-20 min	Table discussion – Round 1 – **What are the actual problems or issues (in the country)?**
10-20 min	Table discussion – Round 2 – **Policy suggestions for how this/these issue(s) can be addressed**
10-20 min	Table discussions – Round 3 – **What are the relevant lessons to take home for the different regions/countries represented on the table?** Begin with 2-3 minutes, where every participant writes down individual notes for the discussion

Identification of results from the discussions

Reflections from the region under review

10-20 min	Table discussion – Round 1 – **What are the actual problems or issues (in the country)?**
10-20 min	Table discussion – Round 2 – **Policy suggestions for how this/these issue(s) can be addressed**
10-20 min	Table discussions – Round 3 – **What are the relevant lessons to take home for the different regions/countries represented on the table?** Begin with 2-3 minutes, where every participant writes down individual notes for the discussion

Main objectives – expected outcome:

1. **Policy suggestions for the regions, supported by the identification of the actual problems or issues**
2. **Collection of jointly learnt lessons**

Lessons learned

- For MS under review
  - Part of the feedback in the closing session
  - Please fill in and deliver to S3P staff after closing session

- For Critical friends
  - For you to keep

Assessment form

- Based on Annex III of the RIS 3 guide
  - Guidance of Expert assessment of RIS3

- Offered as **self-assessment** for MS under review
- Informal assessment by some critical friends
- Informal assessment by participating experts

- Inform the feedback report

RIS3 ASSESSMENT FORM

Dear Colleague, Peer or Expert,

This questionnaire is built around a number of areas which are likely to be examined in the evaluation of the regional/national RIS3. The questions asked are based on the Guidance for Expert Assessment which you find in the Annex III of the RIS 3 Guide.

In relation to the Peer Review Session in the S3 Platform Peer Review workshops, we kindly as the representatives of the country / region undergoing peer review to fill in the questionnaire as a self-assessment prior to the peer review workshop and peers and expert to fill in the form during or after the peer review session you have been invited to attend.

We realise that not all questions in the questionnaire can be answered based on the presentation on the day, so please use the *'not applicable/no info available'* option when you do not have the needed information.

This evaluation is an important pilot exercise that will allow you to experience first-hand an evaluation process similar to the one that will be applied to assess your region' RIS3 at a later stage. On the other hand, your feedback or self-assessment will contribute to the informal feedback which will be provided to the region under peer review.

We would like to thank you once again for sharing your expertise and your kind interest in our peer review event!

The S3 Platform Team

Your name:
Your country / region:
Country / region reviewed:
☐ I have filled in this form as a self-assessment prior to the workshop where my country / region has been peer reviewed.
Date:

I. STAKEHOLDER ENGAGEMENT

Please indicate the extent to which you agree with the statements below.

		Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree	Not appl/ no info available
1.1	The strategy has been developed through a broadly-based process of direct stakeholder involvement.	☐	☐	☐	☐	☐	☐
1.2	This stakeholder engagement process is adequately described in the strategy.	☐	☐	☐	☐	☐	☐
1.3	There is an identified leader of the RIS3 process in this region.	☐	☐	☐	☐	☐	☐
1.4	In order to ensure that all stakeholders own and share the strategy do governance schemes allow for collaborative leadership with no fixed hierarchies and more flexible mechanisms.	☐	☐	☐	☐	☐	☐
1.5	The governance structure have a dedicated Steering Group/Knowledge Leadership Group, a Management Team, Working groups, and flagship projects.	☐	☐	☐	☐	☐	☐
1.6	The priority-setting in the strategy based on an identification of market opportunities/economic potential informed by an entrepreneurial search/discovery process.	☐	☐	☐	☐	☐	☐

Environment enabling mutual learning

As a result of literature review*, we have found a list of 15 possible factors facilitating environment supporting mutual learning.

These factors generally fall into the following categories:

- ❖ **Value sharing;**
- ❖ **Level of commitment;**
- ❖ **Mutual trust;**
- ❖ **Credibility;**
- ❖ **Other factors.**

Some key references: (Huggins, 2009; Pagani, 2002).

What we expect from you… ☺

- Be engaged!
- Share your experience – you hold a piece of the mutual learning!
  - No oberservers!
- Be critical… but friendly!
- Be open – listen and be curious!
- Explore your peers – overcome your challenges together!
- Mix – do not sit with your colleagues or nationals!
- Network….!

Have nice discussions!

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