

9th S3 Peer Review Workshop in Budapest

Inger Midtkandal

Budapest, 25th of June 2013

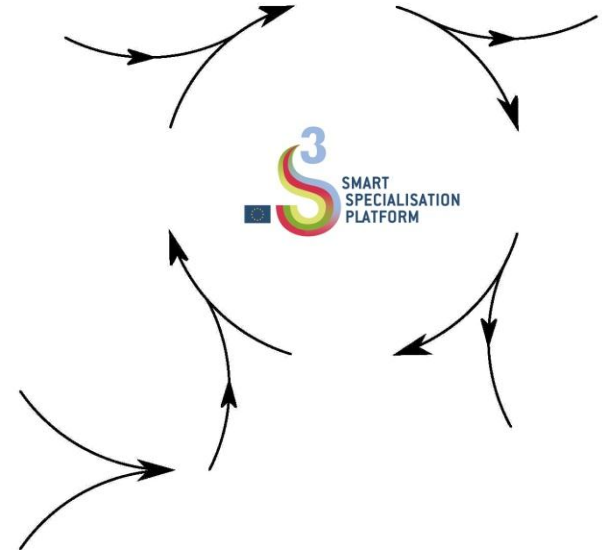
Peer Review workshops

Design and implementation of a RIS3 is a continuous process:

- Integrate knowledge from peers, invited experts and Commission
- Transnational learning - learning from each other and together
- Participants switch roles in same workshop

- Peer-review workshops:

- 1° Workshop in Seville (Jan 2012)
- 2° Workshop in Seville (May 2012)
- 3° Workshop in Ponta Delgada (June 2012)
- 4° Workshop in Pisa (Sept 2012)
- 5° Workshop in Strasbourg (Dec 2012)
- 6° Workshop in Palma de Mallorca (Feb 2013)
- 7° Workshop in Brno (March 2013)
- 8° Workshop in Vaasa (May 2013)
- 9° Workshop in Budapest (June 2013)**
- 10° Workshop in Faro (July 2013)**
- 11° Workshop in Crete (Sept 2013)**
- 12° Workshop in Potsdam (Nov 2013)**





4 member states being peer reviewed

MALTA
Towards a RIS3 strategy



Courtesy: DG - CFM

Budapest, 24-25 June 2013
Nadine Castillo, Director, MCST

SMART SPECIALISATION PLATFORM

Lithuania:
Towards a RIS3 strategy



Location of LITHUANIA In Europe



Budapest, 24-25 June 2013
Kristina Babelytė-Labanauskė
Dmitrijus Kucevičius
Jurgita Petrauskienė
Ramojus Reimeris

SMART SPECIALISATION PLATFORM

Portugal:
Towards a RIS3 strategy



Budapest, 24-25 June 2013
Luisa Henriques

SMART SPECIALISATION PLATFORM

Hungary:
Towards a National S3 strategy



MINISTRY FOR NATIONAL ECONOMY



Balázs Borsi (Ministry for National Economy)
Budapest, 25 June 2013

Source of map: wikipedia

SMART SPECIALISATION PLATFORM

What kind of questions do they ask you as their critical friends?

Questions for discussion with our critical friends:



- Do you face challenges in data availability? How do you plan to overcome them without jeopardising the RIS3 objectives?
- How do you ensure follow up and ownership of the RIS3 at the implementation level, especially by the private sector?
- How do you build in flexibility to respond to changing circumstances?

2

R&I strategies coordination of national and regional strategies



- In RIS 3 there is regionally defined boundaries, however it is difficult to admit territorial dimension in themes. Knowledge production doesn't have defined boundaries
 - Research Agendas and value chains are seldom regional/national
 - Risk of fragmentation of national networks created for achieving critical mass in small countries
 - Themes have different levels – what about global issues like Climate change, maritime research,
- How to articulate multiple-level strategies, thematically and in governance, to avoid duplication and dispersion of resources?
- How governance can be articulated at the multiple levels?
 - RIS 3 defined for regions with governance structures which is not really the case in many European countries, namely the small ones
 - Multi-level governance is one missing link in the Guide RIS 3
- Priority-setting – best practice for theme identification?

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Our questions to the critical peer friends:



1. How the priorities should be implemented taking into account the different maturity of the participating sectors?
2. How the implementation of the selected priorities should be measured?
3. How RIS3 implementation should be coordinated with other R&I policy measures?

2

The questions we would like the peer critical friends to discuss:



- How can the RIS3 process be tailored to a country, with substantial economic and social disparities and with no real economic regions (apart from the Capital region)?
- In terms of RDI-focused planning, evaluation and monitoring, how can the „leap frogging“ expected by the S3 process designers be governed in countries lacking such experience?
- What are the good practices of **CONCRETE** examples of formulating a specialisation agenda? Why are they good examples? If possible, examples for innovation leaders and moderate innovators would be of help.
- What will happen if the S3 design and implementation process fails? Will there be conclusions drawn and additional mechanisms introduced also at EU levels? If yes, what are the likely organisational / institutional arrangements to do so?
- How would you define non-performing investments in the S3 context?

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What happens in the peer review session?

Presentation

Questions to the region

Discussions at 3-5 tables



10-20 min	Table discussion – Round 1 - What are the actual problems or issues (in the country)?
10-20 min	Table discussion – Round 2 - Policy suggestions for how this/these issue(s) can be addressed
10-20 min	Table discussions – Round 3 - What are the relevant lessons to take home for the different regions/countries represented on the table? Begin with 2-3 minutes, where every participant writes down individual notes for the discussion

Identification of results from the discussions

Reflections from the region under review

10-20 min	<p>Table discussion – Round 1 –</p> <p><i>What are the actual problems or issues (in the country)?</i></p>
10-20 min	<p>Table discussion – Round 2 –</p> <p><i>Policy suggestions for how this/these issue(s) can be addressed</i></p>
10-20 min	<p>Table discussions – Round 3 –</p> <p><i>What are the relevant lessons to take home for the different regions/countries represented on the table?</i></p> <p>Begin with 2-3 minutes, where every participant writes down individual notes for the discussion</p>

Main objectives – expected outcome:

1. Policy suggestions for the regions, supported by the identification of the actual problems or issues
2. Collection of jointly learnt lessons

Lessons learned

- For MS under review
 - Part of the feedback in the closing session
 - Please fill in and deliver to S3P staff after closing session
- For Critical friends
 - For you to keep

Dear Colleague,

Thank you once again for participating in the Peer Review Workshop!

In this form, we kindly ask you to summarise for yourself the lessons learned that you will take home from this workshop and what actions points you will carry out as a result of this peer review.

We would recommend that you fill in this form during (or immediately after) the peer review session and/or the closing session on the day 2. The form is for you to better structure your thoughts and the implications of the lessons you bring home after the workshop. The form is for you to keep; you do not need to give it to any of the workshop organizers.

We hope the workshop has been fruitful for you!

The S3 Platform Team

Your name: _____

Country / Region(s) being peer reviewed: _____

I. LESSONS LEARNED

1. What are the lessons learned from this Peer Review Workshop and how will you follow up when you are back home? Please use the space below to write down 3 specific action points you and your colleagues will carry out as a result of this peer review.

Lesson Learned 1:

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Your Action 1:

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.....

Responsible body 1:

Timeline for realisation 1:

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Lesson Learned 2:

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Your Action 2:

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Responsible body 2:

Timeline for realisation 2:

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Lesson Learned 3:

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Your Action 3:

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Responsible body 3:

Timeline for realisation 3:

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Assessment form

- Based on Annex III of the RIS 3 guide
 - Guidance of Expert assessment of RIS3
- Offered as **self-assessment** for MS under review
- Informal assessment by some critical friends
- Informal assessment by participating experts
- Inform the feedback report

RIS3 ASSESSMENT FORM

S3P June 2013

Dear Colleague, Peer or Expert,

This questionnaire is built around a number of areas which are likely to be examined in the evaluation of the regional national RIS3. The questions asked are based on the Guidance for Expert Assessment which you find in the Annex III of the RIS 3 Guide.

In relation to the Peer Review Session in the S3 Platform Peer Review workshops, we kindly ask the representatives of the country / region undergoing peer review to fill in the questionnaire as a self-assessment prior to the peer review workshop and peers and expert to fill in the form during or after the peer review session you have been invited to attend.

We realise that not all questions in the questionnaire can be answered based on the presentation on the day, so please use the 'not applicable/no info available' option when you do not have the needed information.

This evaluation is an important pilot exercise that will allow you to experience first-hand an evaluation process similar to the one that will be applied to assess your region's RIS3 at a later stage. On the other hand, your feedback or self-assessment will contribute to the informal feedback which will be provided to the region under peer review.

We would like to thank you once again for sharing your expertise and your kind interest in our peer review event!

The S3 Platform Team

Your name:

Your country / region:

Country / region reviewed:

☐ I have filled in this form as a self-assessment prior to the workshop where my country / region has been peer reviewed.

Date:

I. STAKEHOLDER ENGAGEMENT

Please indicate the extent to which you agree with the statements below.

		Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree	Not applicable / no info available
1.1	The strategy has been developed through a broadly-based process of direct stakeholder involvement.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.2	This stakeholder engagement process is adequately described in the strategy.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.3	There is an identified leader of the RIS3 process in this region.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.4	In order to ensure that all stakeholders own and share the strategy, do governance schemes allow for collaborative leadership with no fixed hierarchies and more flexible mechanisms.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.5	The governance arrangements have a dedicated Steering Group/Knowledge Leadership Group, a Management Team, Working groups, and Flagship projects.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.6	The priority-setting in the strategy based on an identification of market opportunities/economic potential informed by an entrepreneurial search/discovery process.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Environment enabling mutual learning

As a result of literature review*, we have found a list of 15 possible factors facilitating environment supporting mutual learning.

These factors generally fall into the following categories:

- ❖ **Value sharing;**
- ❖ **Level of commitment;**
- ❖ **Mutual trust;**
- ❖ **Credibility;**
- ❖ **Other factors.**



*Some key references: (Huggins, 2009; Pagani, 2002).

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Lunch room*

*To the left of
Lunch room*

10.00- 12.15	MALTA Fatime and Ales	LITHUANIA Alexander and Inger
12.15- 13.15	Lunch	
13.15- 15.30	PORTUGAL Ales and Alexander	HUNGARY Inger and Fatime
15.30- 16.00	Coffee break	
16.00- 17.00	CLOSING SESSION Feedback from peer reviewed countries, experts and critical friends.	

Have nice discussions!



<http://s3platform.jrc.ec.europa.eu>

JRC-IPTS-S3PLATFORM@ec.europa.eu

JRC-IPTS-S3EVENTS@ec.europa.eu