

RIS³ State of Play

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Topics:

- 1. Impact of the MFF decisions ... how much money for research & innovation?**
 - 2. Trilogue situation regarding ESIF regulation texts on research and innovation**
 - 3. Calendar & what needs to be done**
- FAQs**

L. Impact of the MFF decisions ... painful cuts

GDP/capita* ■ < 75% of EU average ■ 75-90% ■ > 90%

*index EU27=100

**European Council:
€ 325 billion for 7 years:**

3 categories of regions

■ Less developed regions

■ Transition regions

■ More developed regions

€ 164.3 bn
+ € 66.4 bn CF


€ 31.6 bn


€ 49.5 bn

- 1 Canarias
- 2 Guyane
- 3 Réunion
- 4 Guadeloupe/
Martinique
- 5 Madeira
- 6 Açores
- 7 Malta

L. Impact of the MFF decisions:

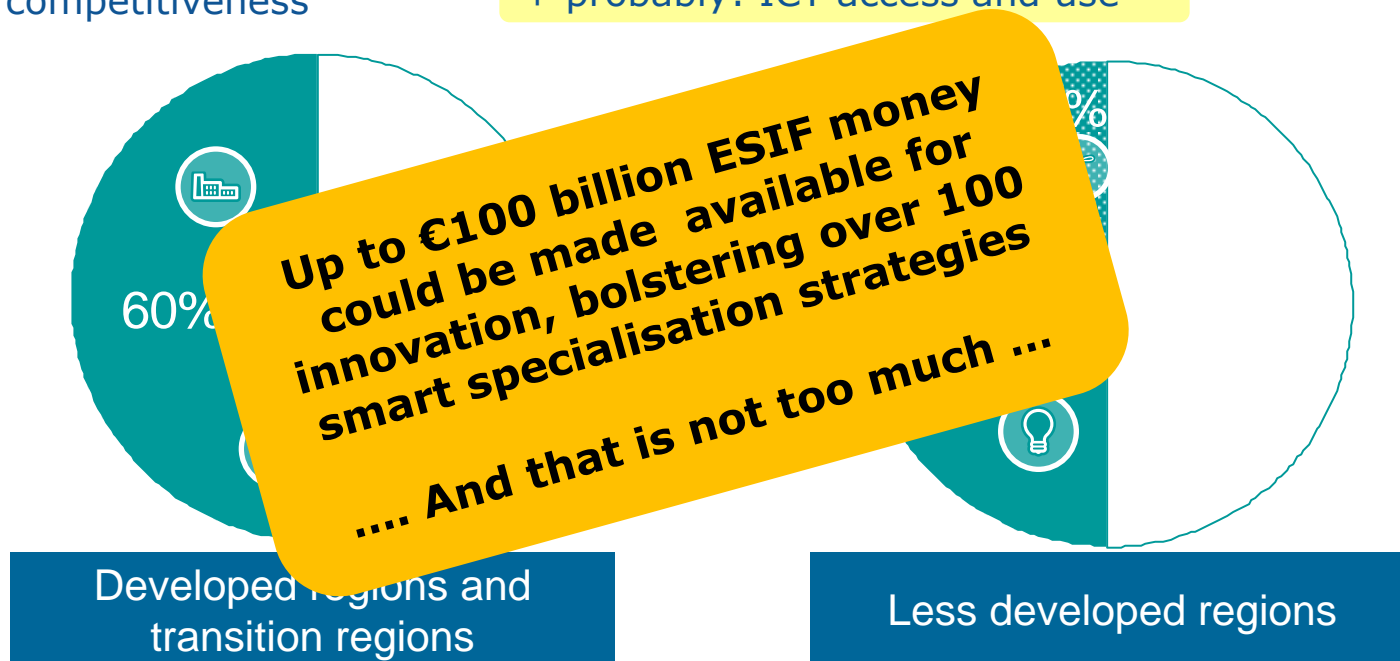
Evermore need to concentrate on investments in themes that enable smart & sustainable growth

 Research and Innovation

 Energy efficiency and renewable energy

 SMEs competitiveness

+ probably: ICT access and use



Flexibility (different regions present different needs)
Special arrangements for the previously convergence regions

Where does the RIS3 ex-ante conditionality apply?

Investment priorities:

1. Research and innovation

2. ICT use and quality

ICT access
(broadband)

3. Competitiveness of SMEs

Ex ante conditionalities:

Smart specialisation strategies
... possibly with digital growth chapter

**Next Generation Access /
Network Plan**

Small Business Act

Late payment Directive

State of play as regards RIS3 compliance

➤ **Mixed picture:**

➤ **SWOT or similar analysis:**

inward looking, no demand side, purely tech focused



➤ **Limited number of priorities:**

coffee for all; too generic



➤ **Measures to stimulate private R&I investments:**

retro-fitting; not tailored to enterprises



➤ **Monitoring system (and indicators):**

mostly absent or not realistic or no causality ...

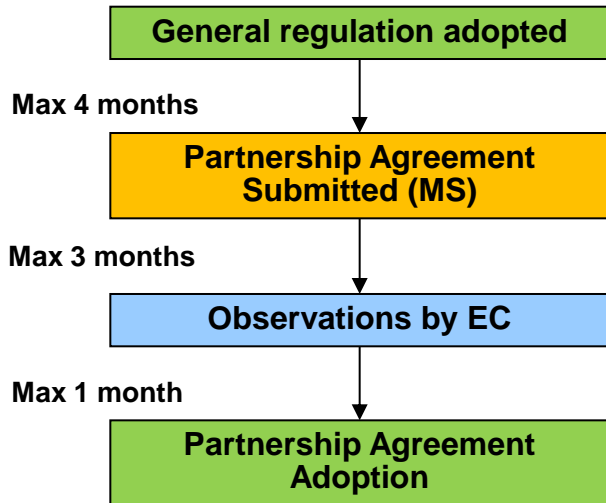


➤ **Budgeting plans:** mostly inexistent, if yes, unclear funding gaps for ESIF

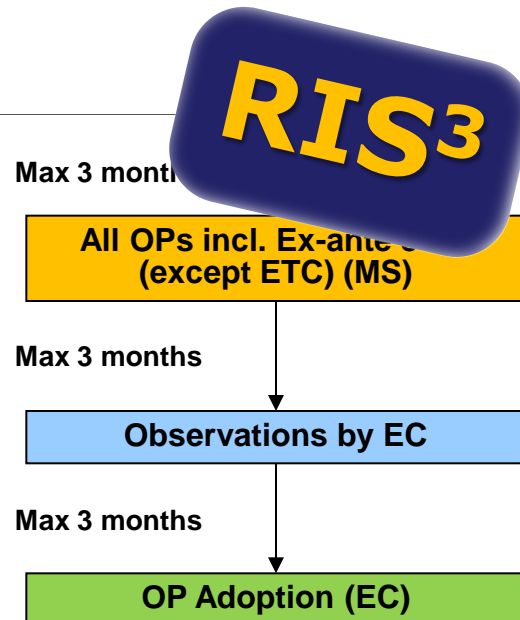


When the RIS3 need to be ready?

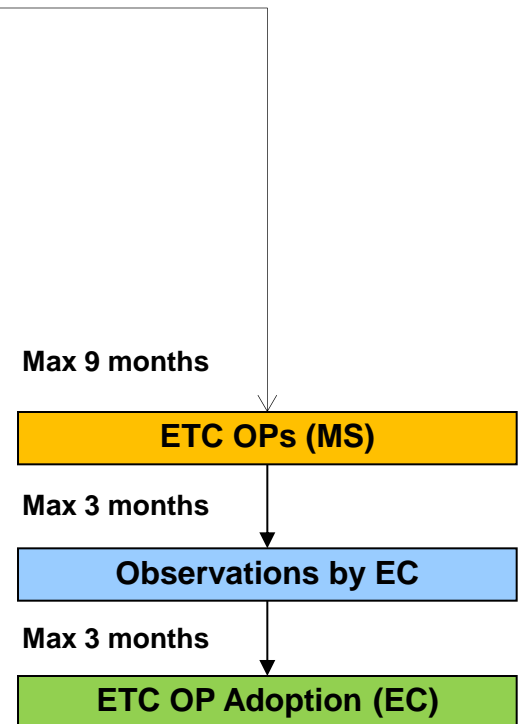
Partnership Agreement



Country / region specific Operational programmes



European Territorial Cooperation ("INTERREG") programmes



Frequently Asked Questions

FAQ on RIS3

- What if my **RIS3 is not ready** by the time the OP has to be submitted?

Count also the time until OP approval for the strategy finalisation; for elements with low risk for effectiveness of OP (e.g. monitoring system): action plan for fulfilment.

- **What about the December 2016 deadline** that was mentioned for the ex-ante conditionality fulfilment?

Makes really only sense for those elements of a RIS3 that have no impact on the content of an OP (e.g. the monitoring system), i.e. where there is no risk of inefficiencies or ineffectiveness of the ESIF investments as they do not contribute to a meaningful overall strategy for R&I.

FAQ on RIS3

- **Who checks the fulfilment of the RIS3 ex-ante conditionality?**

1. Member State (central governments), 2. Commission

- **What are the key elements for accepting the strategy as "Smart"?**

The text in the CPR regulation annex 2 and within this:

- Entrepreneurial discovery process was conducted to establish SWOT or similar analysis and specialisation fields. Analysis has trans-national perspective.*
- "Limited set of priorities" defines specialisation fields that are fit to trigger knowledge-driven economic transformation in a territory and are differentiating it from others / diversify existing assets (instead of generic sectors or technologies).*
- Focus resources on priorities, not "coffee for all"*

FAQ on RIS3

- **Will the Commission interfere with chosen specialisation fields / priorities?**

No. The RIS3 are not subject to the ESIF negotiations and MS/ regions have to discover priorities themselves. OP priority axis will however be negotiated: risk of ineffective investments would be addressed.

- **What if we got it wrong in terms of priorities or policy mix?**

Relaunch entrepreneurial discovery process to revise RIS3, re-programme OP if necessary, use experimentation to limit risk

FAQ on RIS3

- **What is the relation between the regional, national and European level?**

RIS3 development process is mainly bottom-up, but should include national, European and international perspectives to identify strengths and competitive advantages, as well as potentials for cooperation to develop critical mass.

- **Should the fields / themes for specialisation be aligned with the R&I fields in Horizon 2020 or the KETs? Do all KETs have to be considered in a RIS3?**

No! RIS3 fields depend on regional assets & potential

FAQ on RIS3

- Does an **ESFRI project** in the OP "absolve" from going through the RIS3 entrepreneurial discovery process etc.?

NO!!!

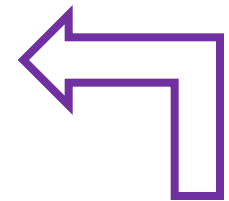
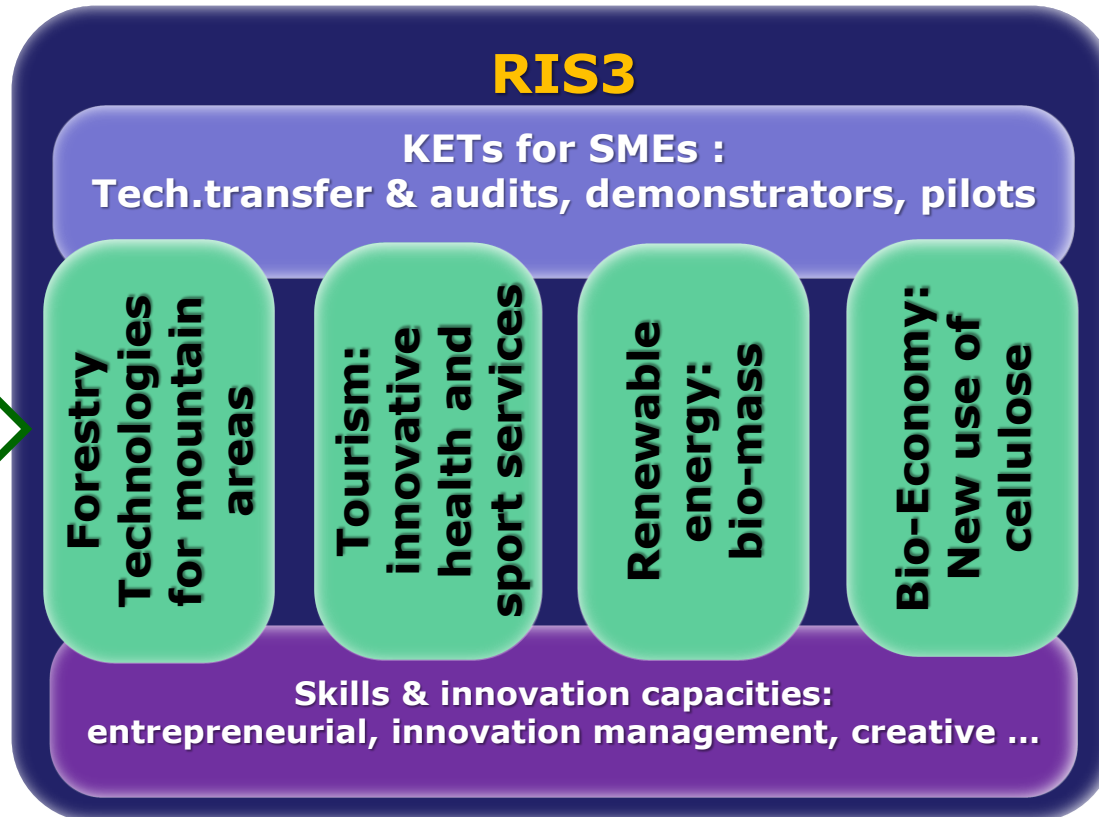
- What if the **state aid** framework stops me from investing in KETs pilot lines and other support measures?

The EU state aid rules need to be respected! Hire legal experts to identify suitable measures and notify if relevant.

FAQ on RIS3

Hypothetical
example

**"concentrate
resources on a
limited set of
research and
innovation
priorities"
(smart
specialisations)**



**Horizontal
issues for
general
innovation
capacity and
system**



**“Transversal activities” (= non specialized) may form part of a RIS3,
but resources should concentrate on priorities**

RIS3 ≠ Operational Programme

Hypothetical
example 1:
more
developed
region

**Legis-
lation**

RIS3

NETs for SMEs :
Tech.transfer & audits, demonstrators, pilots

Horizon
2020

Implementation
drawing on a
variety of
sources ...

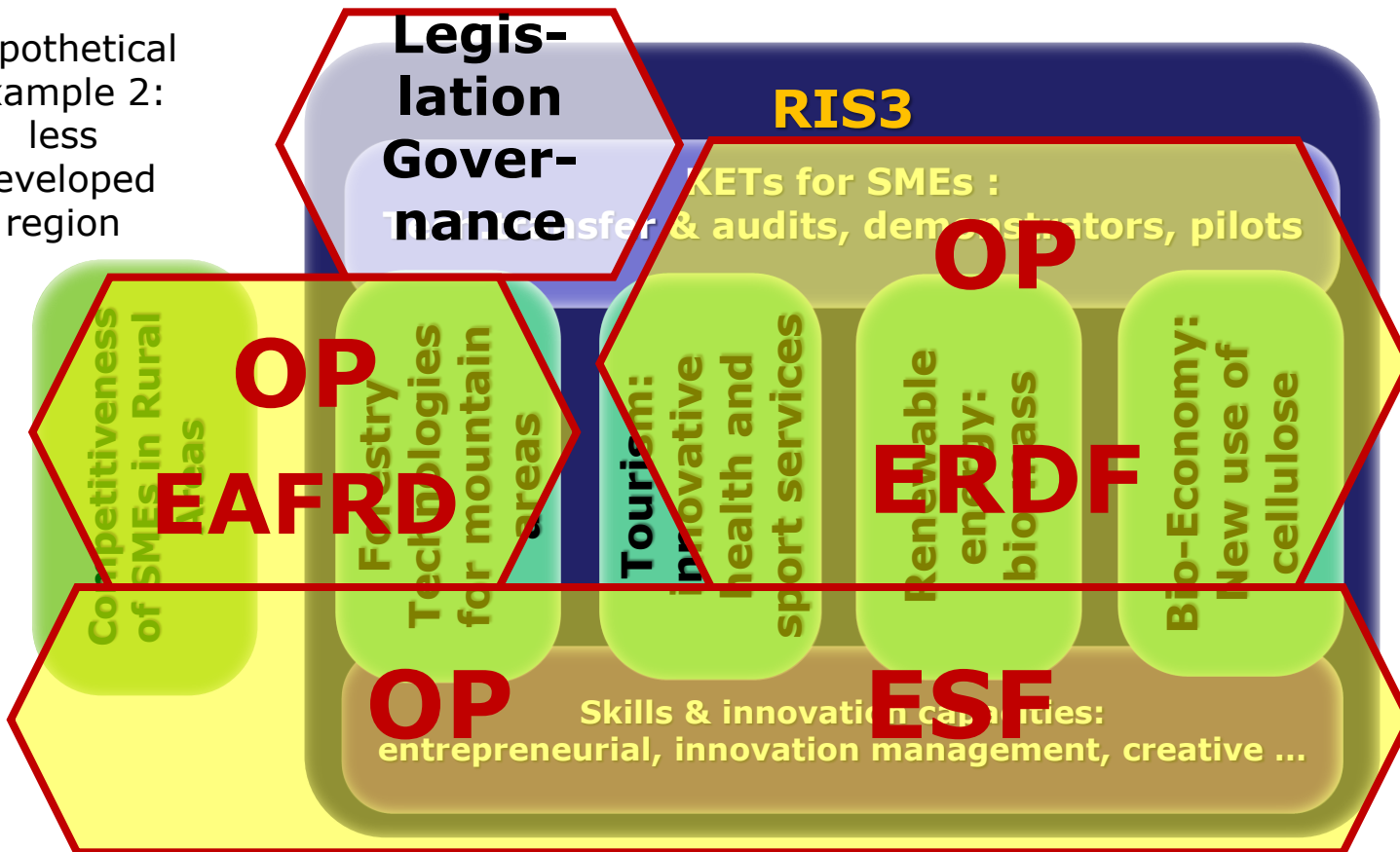
**Regional
funding**

ERDF

National funding

RIS3 ≠ Operational Programme

Hypothetical
example 2:
less
developed
region

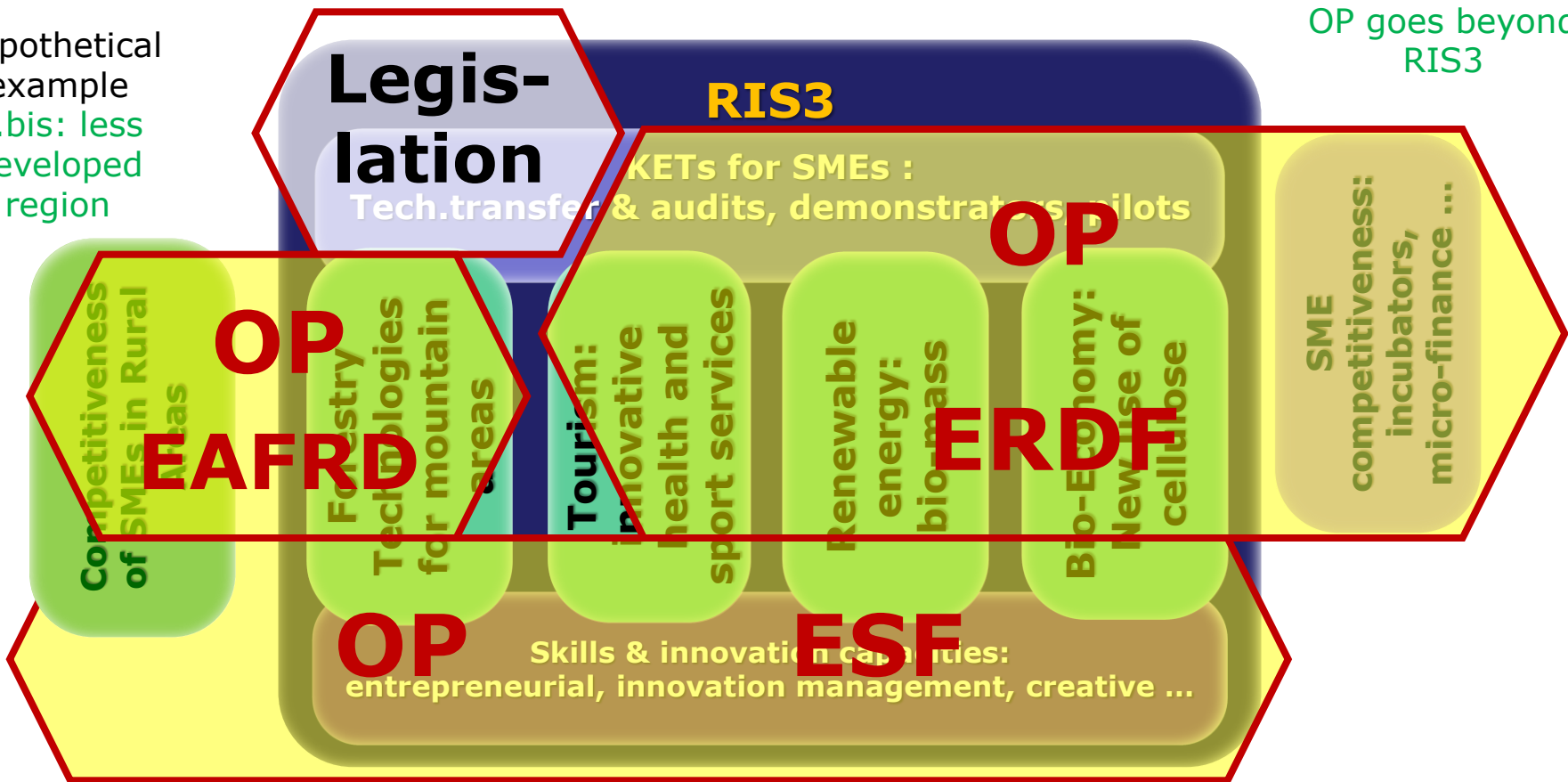


RIS3 is a separate document from the OP as it goes beyond the OP or ESIF investments

RIS3 ≠ Operational Programme

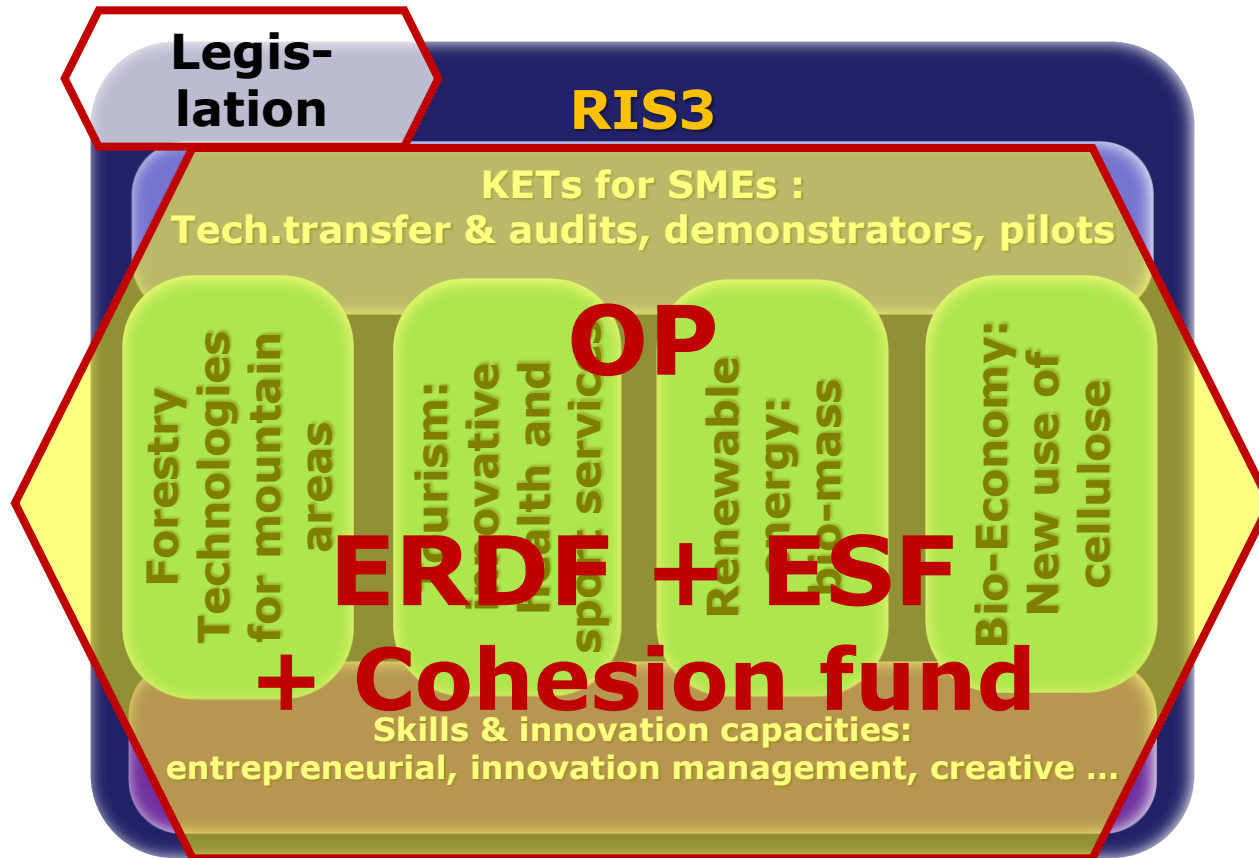
Hypothetical
example
2.bis: less
developed
region

OP goes beyond
RIS3



RIS3 \neq Operational Programme

Hypothetical
example 3:
multi-fund
OP



TO1 or 2bc OP axis \leq RIS3

Hypothetical
example 4:

