



# **RIS<sup>3</sup> & Indicators in the context of the renewed Cohesion Policy**

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## ***Overview:***

- **Principles of 2014 – 20 Cohesion Policy**
- **Links between RIS3 – OPs - indicators**
- **State of play re. RIS3 ex-ante conditionality in negotiations**
- **State of play re. monitoring mechanisms in RIS3**

# ***Principles of 2014–20 Cohesion Policy***

***... and why indicators are so  
important for it ...***



# ***Future of Cohesion Policy 2014-2020***

- ✓ Deliver the **Europe 2020** strategy objectives of smart, sustainable and inclusive growth (*"the power of the purse"*)
- ✓ Strengthen **partnership**
- ✓ **Simplification**
- ✓ **Focus on results**, not spending
- ✓ **Maximise the impact** of EU funding (*"do more with less"*)



# ***Future of Cohesion Policy 2014-2020***

## **Consequences:**

**1. Thematic concentration** to maximise the impact of investments through better focus

**2. Conditionalities** to ensure effective implementation – for innovation: requires strategy building & look beyond Structural Funds framework

***links between RIS3 – OPs –  
indicators***

***and how to avoid double work***

***...***

# ***Steps to develop a RIS3***

Step 1 – Analysis of context,  
potential etc.

Step 2 – Governance

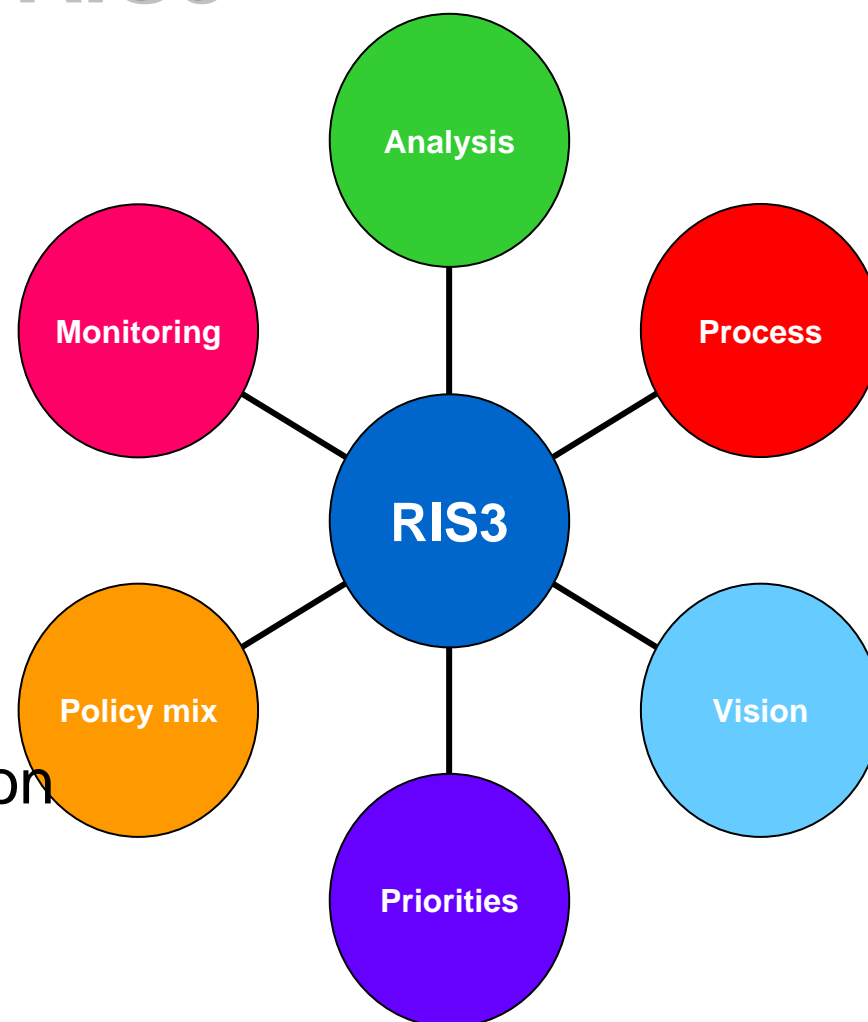
Step 3 – Vision for the future

Step 4 – Selection of priorities

Step 5 – Policy mix

Step 6 – Monitoring & evaluation

*Aim: Place-based economic  
transformation*



## ***It's about facts & impacts ...***

Step 1 – Analysis of context,  
potential etc.

Step 2 – Governance

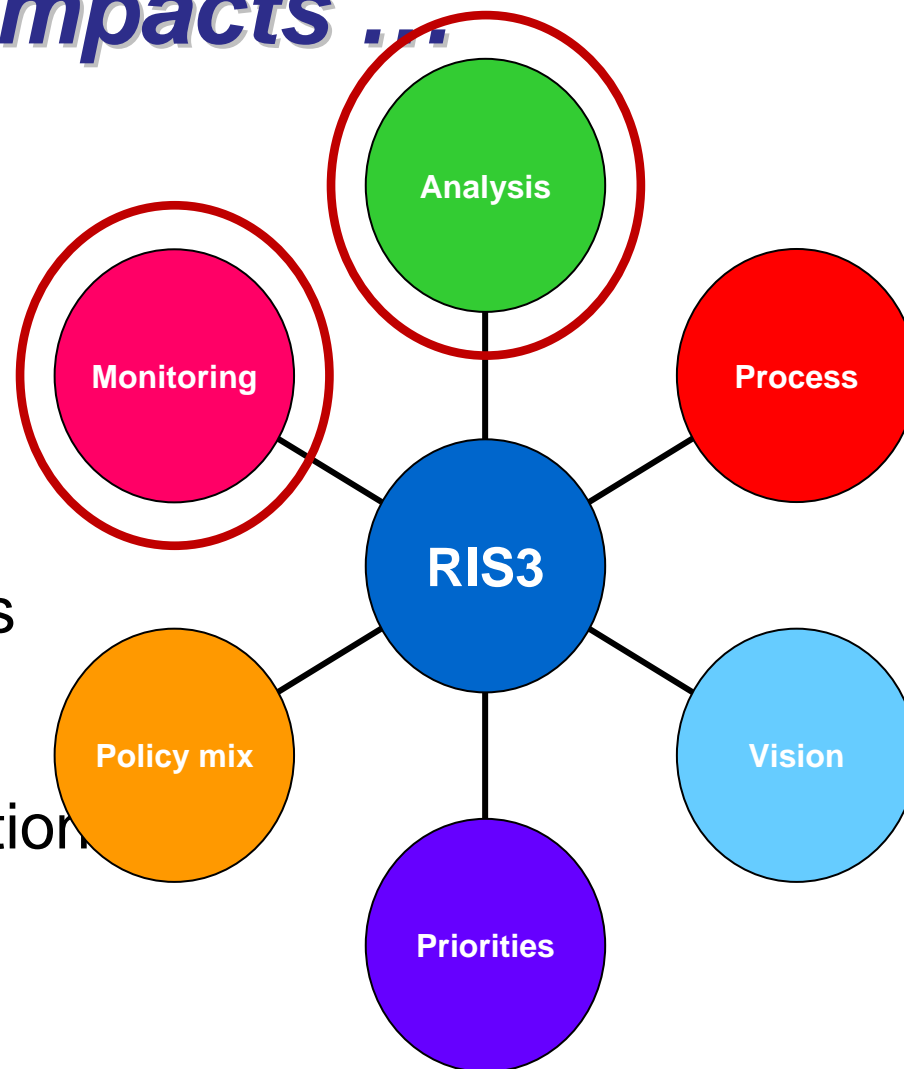
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# Legis-

# RIS3

**Horizon 2020**

## Horizon 2020

**Has to comply with ERDF indicators, monitoring etc. rules!**

# Regional funding

OP

# ERDF

# National funding

## Skills & innovation capacities:

# ***State of play re. RIS3 ex-ante conditionality in negotiations***



## Thematic ex-ante conditionalities

### for ERDF investment priority 1 and EAFRD innovation priority:

Thematic objectives	<u>Ex ante</u> conditionality	Criteria for fulfilment
<b>Strengthening research, technological development and innovation</b>  (R&D target) (referred to in Article 9(1) )	<b>1.1. Research and innovation:</b>  The existence of a national or regional research and innovation (strategy) <i>(strategic policy framework(s))</i> for smart specialisation in line with the National Reform Programme, to leverage private research and innovation expenditure, [ <i>which complies with the features of well-performing national or regional research and innovation systems.</i> ]  <b>For research infrastructures only:</b> <b>1.2</b> The existence of a multi-annual plan for budgeting and prioritization of invest	<ul style="list-style-type: none"> <li>– is based on a <b>SWOT</b> analysis to concentrate resources on a <b>limited set of research and innovation priorities</b>;</li> <li>– outlines measures to <b>stimulate private RTD investment</b>;</li> <li>– contains a <b>monitoring [ and review ] system</b>.</li> </ul> <p>A <b>framework outlining available budgetary resources</b> for research and innovation;</p> <p>A <b>[indicative] multi-annual plan for budgeting and prioritization of investments</b> linked to EU priorities [and, where appropriate, the] European Strategy Forum on Research Infrastructures -ESFRI).</p>



## Thematic ex-ante conditionality for ERDF investment priorities 2 b & c:

Thematic objectives	<u>Ex ante</u> conditionality	Criteria for fulfilment
<b>2. Enhancing access to and use and quality of ICT</b>	<b>2.1. Digital growth:</b>  <b>[ The existence within the national or regional innovation strategy for smart specialisation of an explicit chapter for ] A strategic policy framework for digital growth</b> to stimulate demand for affordable, good quality and interoperable <b>ICT-enabled private and public services</b> and increase <b>uptake by citizens, including vulnerable groups, businesses and public administrations</b> including cross-border	<p>[A strategic policy framework for digital growth, for instance, within the national or regional innovation strategic policy framework for smart specialisation is in place that contains]:</p> <ul style="list-style-type: none"> <li>- budgeting and prioritisation of actions through a <b>SWOT analysis</b> [ carried out in Alignment ] <b>consistent</b> with the <b>Scoreboard of the Digital Agenda</b> for Europe;</li> <li>– an analyses of balancing <b>support for demand and supply</b> of information and communication technologies (ICT) should have been conducted;</li> <li>– <b>indicators</b> to measure progress [<b>measurable targets for outcomes</b>] of interventions in the field of digital literacy, skills, e-inclusion, e-accessibility, and e-health [<b>within the limits of Article 168 TFEU</b> ] which are aligned with existing relevant sectoral national or regional strategies.</li> <li>– <b>assessment of needs</b> to reinforce ICT capacity-building.</li> </ul>

# ***State of play regarding monitoring mechanisms in RIS3 ?***



# Commission support for RIS3:

## S3Platform:

- peer-reviews
- analysis & data
- training
- communication



✓ 105 EU regions + 3  
✓ MS registered  
✓ 20 peer-reviews  
✓ trainings, thematic  
✓ seminars  
✓ RIS3 guide  
✓ Web-site, Newsletter

Cohesion  
Policy



## Commission support for RIS3:

### S3Platform:

- peer-reviews
- analysis & data
- training
- communication

### ★ RIS3 policy events:

- national
- trans-national

✓ 14 RIS3 kick-off events for Member States  
✓ 4 trans-national RIS3 events

Cohesion  
Policy

**So far 58 contracts  
for informal RIS3  
assessment:  
14 national and  
37 regional**

## **Commission support for RIS3:**

Some first impressions of issues:

- Evaluation of previous strategies:  
patchy ...**
- Evidence base: inward-looking ...**
- Monitoring systems in emerging RIS3:  
not seen as priority...**
- New forms of innovation** (public sector, user-  
driven, social, co-creation, public procurement,  
service ...): **unmeasurable???**
- Starting point: existing policy mix, not  
the question of how to attain desired  
results ...**

**S3Platform:**

peer-reviews  
analysis & data  
mining  
communication

**policy**

**national**

**analysis  
support**

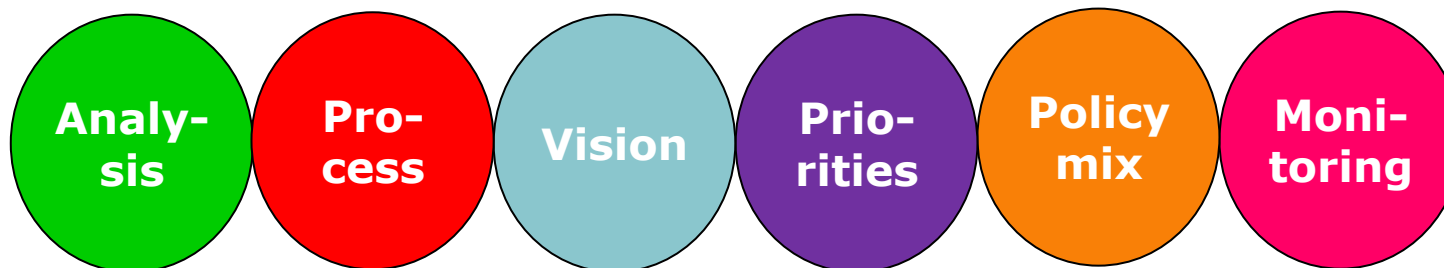
**thematic  
process**



# ***RIS3 = evidence-based policy design***

*Where do you stand?  
... also in relation to others?*

*Where do you want  
to get to?*



**A**

**...the art of indicator setting...**

**Ω**