



Stairway to Excellence Pilot Training on Optimising use of H2020 in Implementing RIS3

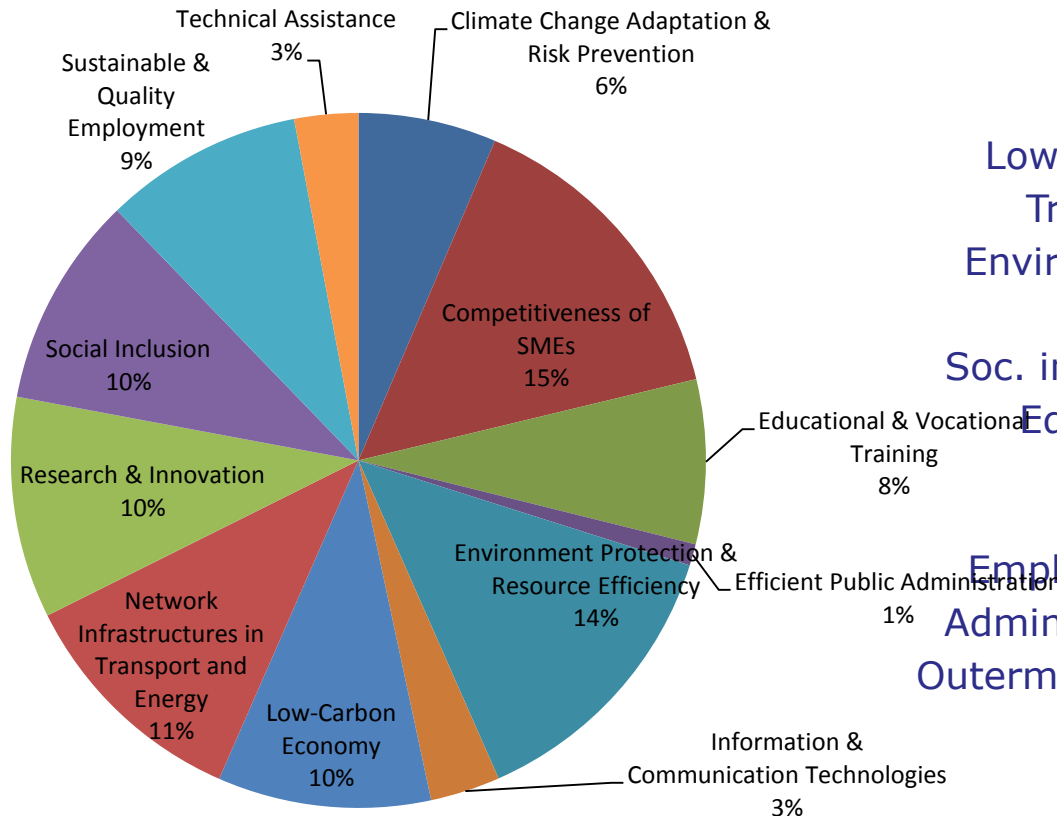
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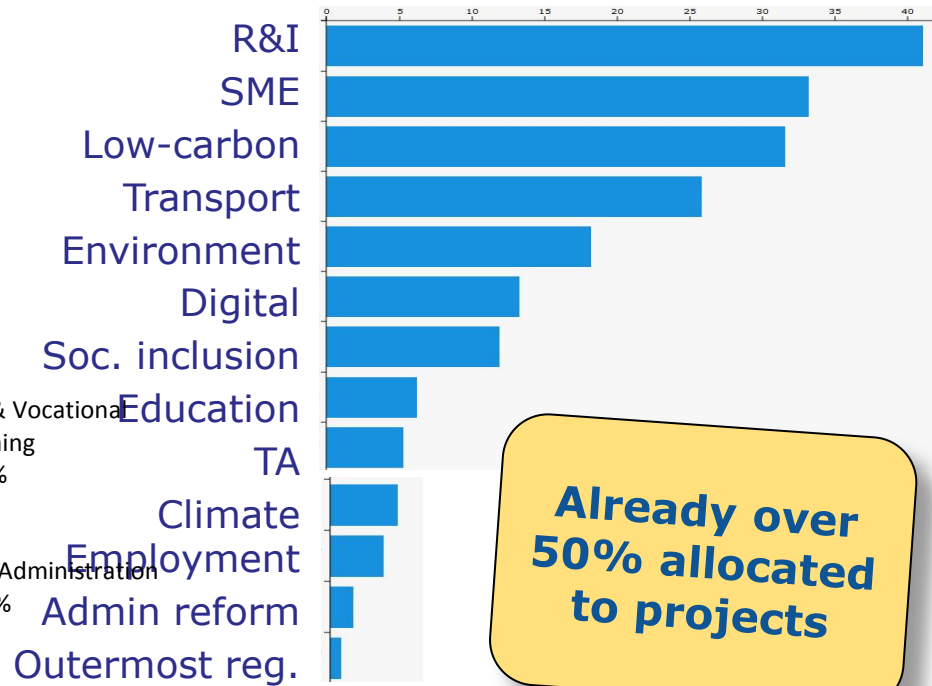
15th February 2018

Share of ESIF allocations by thematic objective (%) based on amounts for total (EU+National) contributions

ESIF 2014-2020



ERDF



Already over 50% allocated to projects

Source: <https://cohesiondata.ec.europa.eu/overview> (February 2018)

Legal base for combination of H2020 (and other instruments) and ESIF

- **Article 65(11) CPR & Art. 37 RfP Horizon2020**

Combination of funding from different EU funding instruments

- **Article 70(2) CPR**

ERDF: 15% at the level of the priority axis may be spent outside OP area

- **Art 96(3)d CPR**

OP describes arrangements for inter-reg. & trans-nat. actions with beneficiaries located in at least 1 other MS

Enabling Synergies between European Structural and Investment Funds, Horizon 2020 and other instruments

Basic Principles & Concept of Synergies and

Cumulation Recommendations for policy-makers and implementing bodies for strategy development, programme design and implementation mechanisms; Overview of Commission support (SWD(2014)205 final)

Annex 1

Explanations by programme

(differences, opportunities, management principles)

- **ESIF & Cohesion Policy**
- **Horizon2020**
- **COSME**
- **Erasmus+**
- **CEF digital services**
- **Creative Europe**

Annex 2

Scenarios & hands-on advice for policy-designers & implementers by project format (also interesting for beneficiaries)

1. Horizon2020
 - Standard R&I projects
 - ERA Chairs, Teaming & Twinning
 - Marie Skłodowska-Curie researchers' mobility
 - ERA-NETs, Joint Programming Initiatives, Art. 185 initiatives and Art. 187 Joint Technology Initiatives
 - EIT / Knowledge and Innovation Communities
 - Research Infrastructures
 - Pre-Commercial Procurement and Public Procurement of Innovative Solutions
 - Innovation in SMEs
2. COSME – Enterprise Europe Network
3. ERASMUS+ – mobility, Knowledge & skills alliances
4. Creative Europe –innovation in& with Creative Industries
5. CEF – Digital Services Platforms – e-government interop.

Guidance



Examples for Synergies

1. Strategic approach to synergies

CleanSky2 Joint Undertaking is called by its founding Council Regulation no. 558/2014 of 6 May 2014 to develop close interactions with European Structural Investment Funds and to underpin smart specialisation efforts in the field of activities covered by the CSJU.

Approach:

- Encouraging **complementary activities** by applicants in line with the CleanSky2 technology roadmap that **broaden the scope**, add **parallel activities** or **continue** CSJU co-funded project/activities through ESIF
- CSJU has developed a **comprehensive policy strategy and an action plan on synergies**:

13
MoU

59
REGIONS
COUNTRIES
WITH PRIORITIES
IN RIS3

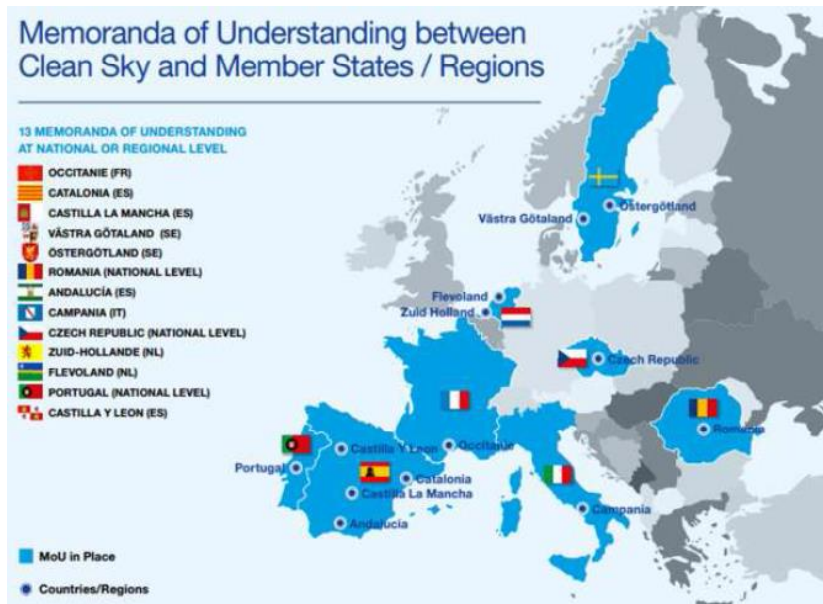
8
PILOT
PROJECTS

5
SYNERGY
LABELS

See: <http://testcs.openimpact.be/structural-funds-and-regions>

CleanSky2 Joint Undertaking

CleanSky2 Joint Undertaking is concluding MoUs with MS and regions that have a strong aerospace orientation in their RIS3. So far, 13 MoUs (Occitanie (FR), Andalusia, Catalonia, Castilla, La Mancha, Castilla Y Leon (ES), Campania (IT), Romania (state level), Czech Republic (state level), Flevoland and South Holland (NL), Ostergötland , Vastra Götaland (SE) and Portugal (state level)




The MoU aim to promote synergy between Horizon2020-financed research and innovation projects and the MS's / regions' ERDF and own R&I investments.

They include i.a.:

- The appointment of a liaison committee, made up of two members each from CleanSky and the region/MS
- promotion and management of collaborative activities
- define the implementation methods

Castilla la Mancha : 10 extra points for excellent, but not funded CleanSky2 proposals

 <p>GOBIERNO DE ESPAÑA</p>	<p>MINISTERIO DE ECONOMÍA, INDUSTRIA Y COMPETITIVIDAD</p>	<p>SECRETARÍA GENERAL DE INDUSTRIA Y DE LA PEQUEÑA Y MEDIANA EMPRESA</p> <p>DIRECCIÓN GENERAL DE INDUSTRIA Y DE LA PEQUEÑA Y MEDIANA EMPRESA</p>	<p>Ayudas e incentivos para empresas</p> <p>Referencia: 60573</p>
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Actualizado a: 04/12/2017

Se aprueba la convocatoria de las ayudas del Programa Innova-Adelante en Castilla-La Mancha, cofinanciable en un 80% por el Fondo Europeo de Desarrollo Regional, para el ejercicio 2017.
a) Línea de apoyo a la innovación empresarial

"3º Empresas que han concurrido a convocatorias nacionales, europeas o internacionales en materia de I+D+i, y que aun obteniendo calificaciones favorables, no han obtenido financiación debido a causas presupuestarias. Se valoraran con 5 puntos. En el caso de proyectos que hayan concurrido al programa europeo CleanSky2, relativo al sector aeronáutico, se valorará con 10 puntos.

Castilla-La Mancha published under its ERDF programme a competitive call for research and innovation projects of companies, including from the aeronautical sector. It provides favourable conditions to the proposals which have a positive evaluation under CleanSky2 calls under Horizon 2020. Deadline for applications: 5 February 2018

H2020 CleanSky2 calls for proposals: see [participant portal](#)

2. Sequential approach

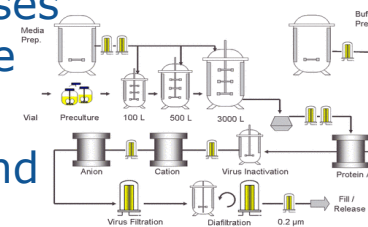
"**Brückenbildung NRW**" initiative of North-Rhine Westphalia (DE)
The initiative is part of the "Smart Specialisation Strategy" of North-Rhine Westphalia to incentivise the transfer of FP7/H2020 research results to local firms for developing commercial solutions.

- [F3Factory](#) project under FP7

worked out solutions to enhance performance of production processes with regards to safety, cost effectiveness and energy efficiency. The results have been used by the

- [MoBiDiK](#) ERDF project for Modular Bioproduction - Disposable and Continuous

made a new biopharmaceutical production process work in a demonstration plant based on modular plug-and-produce chemical production technology. This helps meet the growing demand for specialised therapies, decrease production cost and leverage private funds.



MoBiDiK



3. Adopting good practices from H2020:

Support for international evaluation of ERDF project proposals via access to H2020 Database of Experts

- Independent experts' assessment based on predefined objective criteria
- ESIF Managing Authorities and other public entities implementing RIS3 can ask to receive access to the database of experts:
 - Through the Participant Portal of DG RTD: write an email with request to the IT HELPDESK

EC-RESEARCH-IT-HELPDESK@ec.europa.eu

- Granting access is subject to DG RTD decision
- DG REGIO asked to confirm MA's identity

Forthcoming changes in the ESIF Regulations facilitating synergies

Synergies Guide Update

Future Simplifications for synergies thanks to the "Omnibus" Regulation

- Modifications in ESI Funds' Regulations and Financial Regulation: for financial instruments, simplification, synergies as response to experiences since 2014 and the HLG on simplification
- December 2017: Political agreement on ESI Funds Regulations reached
- Still working on agreement on Financial Regulation
- Entry into force & publication: probably June 2018
- In particular Articles 65(11) and 70(2) CPR modified

Modifications: Eligibility of expenditure 1/2

More use of Simplified Cost Options (SCO)

Article 67(2)(5), CPR Regulation (EU) No 1303/2013

Current situation:

Complex situation with limited possibilities, eg. lump sums limited to EUR 100 000; Flat rates for indirect and staff costs max. 25% or 15% of eligible direct costs

Omnibus:

- No upper limit for **lump sums**
- **Compulsory use of SCO for ERDF and ESF** where public support does not exceed EUR 100 000 for operations not implemented exclusively through public procurement.

Article 68(1) CPR Regulation (EU) No 1303/2013

New off-the-shelf SCOs

Modifications: Eligibility of expenditure 2/2

Additional option for exemption from FR's prohibition to cumulate funds from different EU sources

Article 65(11) CPR Regulation (EU) No 1303/2013

Current situation:

ESIF and Horizon2020 can be cumulated in the same project, provided that each **expenditure / cost item** receives funding from only one EU fund (Article 37 H2020 Rules for Participation mirrors Art. 65(11) CPR)

Omnibus:

- Current option remains possible
- Additional option offered: expenditure reimbursed from different ESI Funds and Union instruments on a **pro rata basis** in accordance with the **document setting out the conditions of support**

Modifications: Eligibility of operations depending on location: Simplification for R&I 1/2

Article 70(2) CPR Regulation (EU) No 1303/2013

Current situation: Projects outside OP area possible if:

- operation is for the **benefit** of the programme area
- **max.15% of a priority axis** may be outside OP area
- **agreement of monitoring committee** to each individual operation
- **management, control and audit** by funding MA or agreements with authorities in the area in which the operation is implemented

Omnibus: Art. 70 totally redrafted

Restructuring aims to enhance transparency and increase legal clarity and differentiate:

- Operation in EU but outside OP area & calculation of benefits
- Outside EU (TA, promotion, information, visibility ...)

Modifications: Eligibility of operations depending on location: Simplification for R&I 2/2

Omnibus: Art. 70 totally redrafted

Special simplification rules for **research and innovation:**

1. Operations **within EU but outside the Member State:** only 2 criteria apply:

- **max.15% of a priority axis** at the time of adoption of the OP may be outside OP area
- **management, control and audit** by funding MA or agreements with authorities in the area in which the operation is implemented

2. Operations **outside EU:**

R&I operations allowed, provided that **expenditure is necessary for the satisfactory implementation of the operation.**