



A strengthened evidence-based approach for more result-oriented S3 strategies

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Rationale

Recent analysis shows that governance is a key component

- EU Regions should not only design a “clear intervention logic” but also take a more result-oriented approach to tackle the lack of impacts (European Court of auditors, 2019)
- EU regions require human capital with the right skills not just for fulfilling audit and legal requirements but also for providing strategic inputs. The organisation management and strategic planning capacities should be reinforced (OECD, 2020)
- Governance is a key success factor for a successful implementation of S3 strategies (Di Cataldo et al, 2020)
- Closer scan of the assets and assumptions allow better decision-making process. Failure is often explained by poor assumption or high assertion of the assets and the available resources (Doussineau & Saublens, 2020)

Motivations

How governance can be improved for more result oriented Strategies ?

- An intervention-logic methodology **helps to better plan the contribution** for each stakeholder, the expected results and the allocation of resources
- An intervention-logic methodology **facilitates a regular assessment** of the implementation process. It allows to **adapt the policy intervention**.
- Each decision taken by regional policy makers need to be supported by a collection of evidences **defining the gaps in the ecosystem** and the public intervention

Six steps for an intervention-logic approach



Source: *An intervention-logic approach for the next generation of S3 strategies: from place-based assets to expected impacts*, doi: 10.2760/21167, JRC121110 <https://publications.jrc.ec.europa.eu/repository/handle/JRC121110>

A methodology embedded in the policy cycle

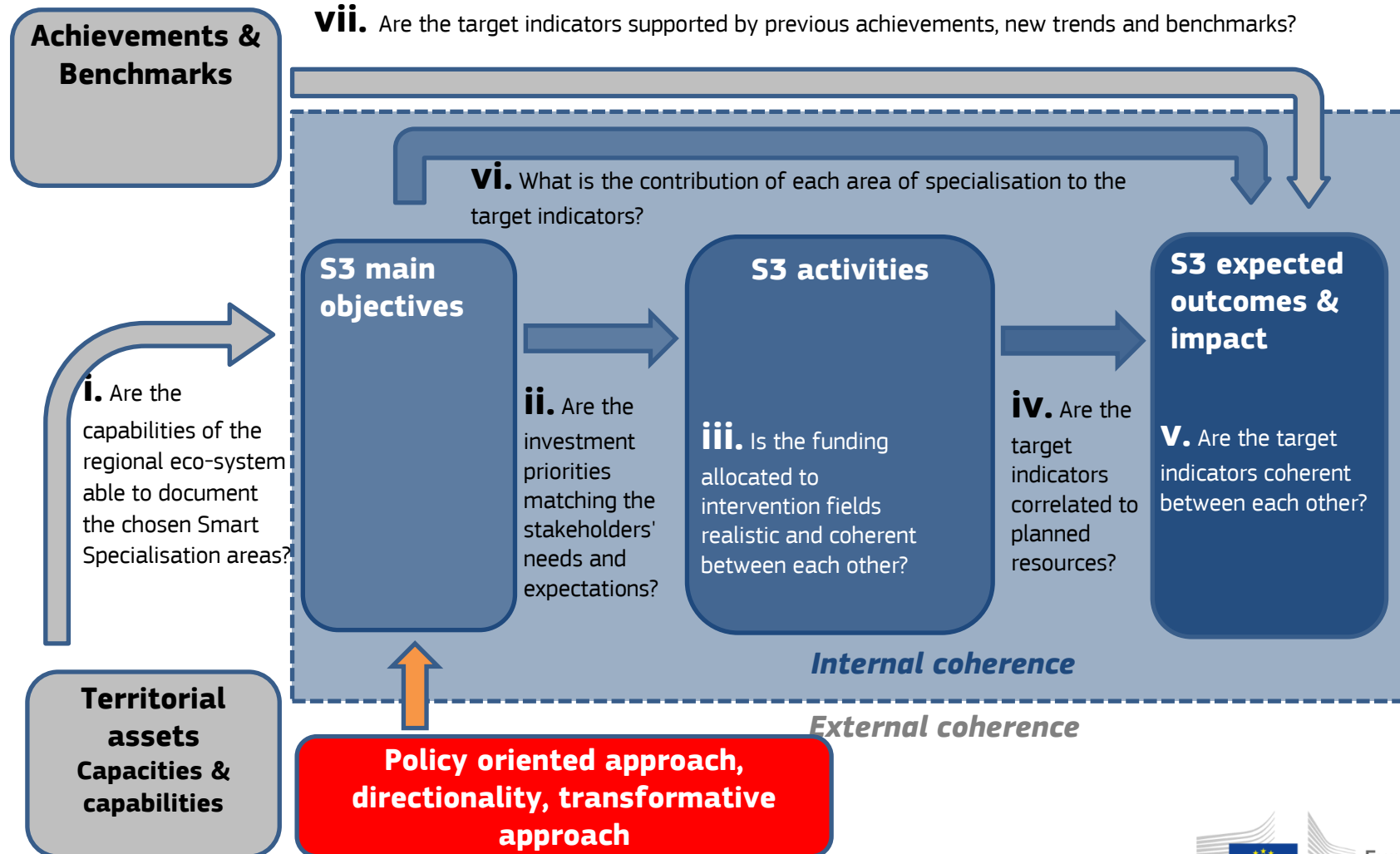


The Who, the When and the What of the approach

Who →→	<ul style="list-style-type: none"> • Policy makers • Enablers • HEI and Research org. • Firms • Investors • Experts • Civil Society 	<ul style="list-style-type: none"> • S3 steering committee • Managing authorities 	<ul style="list-style-type: none"> • Decision makers • Managing authorities 	<ul style="list-style-type: none"> • All stakeholders
When →→	Steps 1 and 2	Steps 3 and 4	Step 5	Step 6
What →→	<ul style="list-style-type: none"> • Collecting data • Assessing data and past performance • Identifying bottlenecks • Building scenario to improve the ecosystem efficiency • Prioritising the next generation of activities and investments 	<ul style="list-style-type: none"> • Quantifying the expected outcomes • Identifying the funding options for each type of activity and investment 	<ul style="list-style-type: none"> • Allocating the resources according to the expected outcomes • Enhancing the management capability • Forecasting the implementation costs 	<ul style="list-style-type: none"> • Checking the strategy consistency with the policy objectives • Ensuring that the enabling conditions are addressed • Contributing to eliminate the weak parts of the asset-based and result-oriented strategy

A methodology to verify the internal and external coherence of the strategy

- Verifying the coherence of S3 interventions
- Are you able to deliver what you have promised?



Questions related to the implementation

- How to adapt the approach to cover the diversity of EU regions and governance systems? (the right balance between being too specific and too generic)
- How to help Regional policy makers to optimize the effort to invest for the implementation of the approach? (addressing regional specificities)
- What could be the external support for its implementation? (in terms of data collection particularly) ?

Thank you



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