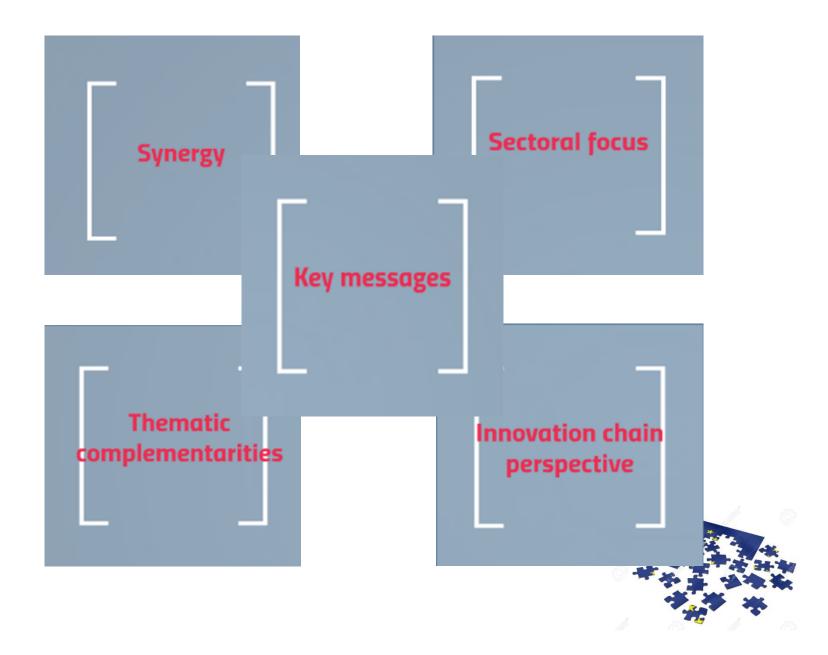
# Building synergies and complementarities in the use of EU funding sources

Corina Pascu EU Technology Analyst

### The potential for Romanian innovation system





Definition : "The interaction or cooperation of two or more organizations, substances, or other agents to produce a combined effect greater than the sum of their separate effects" (from Greek word synergia from synergosς, meaning "working together") *Oxford Dictionary* 

The concept of synergies has its most visible form in the combination of different funds (scarcity of public resources)

The mix of EU funds = Bringing together many different worlds! (different aims, nature, rules, eligibility criteria and timeframes) but It is more than just combining funds !

Smart Specialisation – space of opportunity for exploration of strengths and weaknesses in innovation (scale, scope and spillovers in knowledge production and use)



## Methodological issues

- "Status-quo" of sources and mechanisms dedicated to innovation and entrepreneurship in the 2014-2020 in Romania *e.g. H2020 and other EU programmes, OPs, EFSI, Regional strategies for S3, SNCDI and RIS3*
- Synergies & Complementarities in terms of thematic objectives, type and sequence of financing, sectorial and territorial allocation, types of beneficiaries and approaches on innovation cycle
- Research questions:
  - What are the objectives aimed by the innovation and entrepreneurship funding instruments and to what extent do they act in synergy or disparately in reaching those goals;
  - Unsupported or insufficiently supported areas by the instruments dedicated to innovation and entrepreneurship and why;
  - Policy options and their respective implications for the innovation ecosystem in the 2020 horizon.



### **Thematic complementarities**

### R&D&I

• National RDI Plan (NP III): Support for fundamental and frontier research complementing ESI Funds (OPC) targeting support for applied research and innovation in business

•Synergy will also be ensured through common RDI actions with complementary eligibility criteria (Partnership Agreement)

### **Research Infrastructures**

•OPC - Support for major projects (ELI NP, Danubius RI) and investments in infrastructure by research institutes/ R&D departments/ innovation clusters •NPIII - Investments for public R&D units with multiple users from the private sector and economy.

### Synergies with international R&D&I programs

•OPC - synergies with the R&D&I actions of HORIZON2020 (ERA Chairs, teaming projects, support for drafting proposals to Horizon2020 and other international programs)

•NPIII "International" Programme - co-funding for Horizon2020 programs and support Romania's participation in major international R&D&I programs

### Private investments in R&D&I

•OPC- targets the innovative enterprises (including spin-offs & start-ups); access to financial instruments

•NPIII, the "Thematic R&D" Programme- targets public and private R&D units, while enterprises can only be involved to provide co-funding

### Knowledge and Technology Transfer

•OPC, the "Knowledge Transfer Partnerships" action - support to R&D units (applicants) and the enterprises

•NPIII, "Competence Centre" action - support for partnerships between universities/ R&D units and enterprises to develop new products and technologies

•ROP – PA1 - support for innovation is offered by the technology-transfer units financed

Source: Author's own elaboration based on Pascu.C &all, CEROPE 2015 Legend: NP III- National Plan for R&D&I 2014-2020; OPC -the R&D&I component of the OP Competitiveness;

### Innovation chain perspective

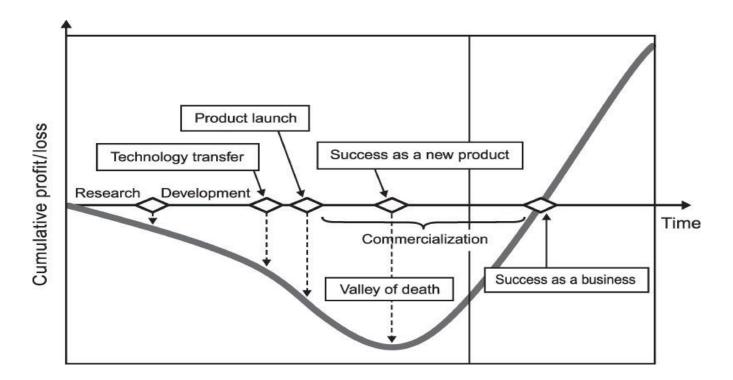
| Basic research   | Proof of Concept  | Early-stage technology<br>development  | Product development  | Production/ Marketing   |
|--|---|--|--|---|
| •NPIII (Thematic Projects -<br>Frontier research;<br>Solutions; Concept etc.). | • NPIII (Thematic Projects -<br>Frontier research;<br>Solutions; Concept etc.). | •OPC will support the<br>enterprises to retrieve and<br>use the research results :<br>Promoting business<br>investments in R&I,<br>developing links and<br>synergies between<br>enterprises, R&D centres<br>and the higher education<br>sector; Access to financial<br>instruments aimed to<br>support the risk of private<br>investment | <ul> <li>OPC will target innovative start-ups and spin-offs, but also to enterprises for which R&amp;D is not the core business</li> <li>NPIII Competence Centres -support for the development of new products and technologies</li> <li>NDRP (Co-operation) - knowledge transfer services and support for the establishment of Operational Groups (OGs) for the development of pilot projects</li> <li>ROP - innovation and technology-transfer services in less developed regions and support for business – development related activities of SMEs</li> </ul> | <ul> <li>OPC will target innovative start-ups and spin-offs, but also to enterprises for which R&amp;D is not the core business.</li> <li>NPIII Competence Centres -support for the development of new products and technologies</li> <li>NDRP (Co-operation) - knowledge transfer services and support for the establishment of Operational Groups (OGs) for the development of pilot projects</li> <li>ROP - innovation and technology-transfer services in less developed regions and support for business – development related activities of SMEs</li> </ul> |

Source: Author's own elaboration based on Pascu.C &all, CEROPE 2015, Innovation value chain - Branscomb LM, Auerswald PE (2002)

Legend: NP III- National Plan for R&D&I 2014-2020; OPC -the R&D&I component of the OP Competitiveness; NRDP - The National Rural Development Programme; ROP- Regional OP



### The "Valley of Death"



Source: Osawa and Miyazaki, 2006



### Key messages

The development of Romanian R&D&I should be seen in the global context

The internationalization of R&D&I should be prioritized in funding approaches, to create a critical mass of internationally relevant capacity

Strategic approaches to market entry/exit to support critical mass of market innovators

Foster the R&D&I capacity at the local level to activate local policy-making "cells"

The Entrepreneurial Discovery Process to activate the contribution of all relevant stakeholders

The need for coordination and communication (no more "silos")



### Thank you!

The tale of the sleeping giant, excited goblins (high tech clusters) and a few hungry dwarfs (the Long Tail of small firms )

Prof. Dominique Foray



The Hobbit™

### NO "Café para Todos" Coordination & Cooperation

