



Policy Instruments to Widening Participation and Ensuring Synergies

S2E event, Bulgaria, 14/07/2016

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The background of the bottom half of the slide features a graphic for "HORIZON 2020". It shows a blue, glowing horizon line over a dark blue, reflective surface. In the center, a transparent globe with a blue and white Earth map is positioned. The word "HORIZON" is written in large, white, sans-serif capital letters to the left of the globe, and "2020" is written to the right. The entire scene is illuminated by bright, radiating light beams emanating from behind the globe.

Teaming under the 2016-17 WP

Phase 1 Call : Business Plan for a Centre of Excellence (CoE)

Budget: €12 million
Call publication: 14 October 2015
Call opening: 28 July 2016
Call deadline: 15 November 2016
Project Size: €400,000 (eligibility condition)
Project Duration: 12 months (eligibility condition)
Funding for: Business Plan

Phase 2 (Restricted) Call in 2018: Start-up/Implementation of the CoE

Project size & duration: ~ €15 million & 5-7 years
Funding for: Substantial support for the start-up phase of the CoE (administrative, personnel and operational costs as well as very limited support for equipment and consumables)

Results from Teaming 2014: 2 successful proposals out of 11 submitted for Phase 1: CoE of Plant Systems Biology and Biotechnology (by the Institute of Molecular Biology and Biotechnology) and CoE for Mathematical Modelling and Advanced Computing (by the Institute of Information and Communication)

ERA Chairs: Costs & Call 2017 details

Eligible Costs:

- **Salaries** of ERA Chairs and his/her team.
- **Costs** including training, meetings, publication and patenting costs, equipment (minor part of budget and duly justified);

ERA Chairs Call 2017:

Budget: €33.91 million
Call publication: 14 October 2015
Call opening: 12 April 2017
Call deadline: 5 October 2017
Project Size: up to €2.5 million (eligibility condition)
Project Duration: up to 5 years (eligibility condition)

Results from ERA Chairs 2014: 4 submitted proposals, none successful

Twinning: Costs & Call 2017 details

Eligible Costs:

- Coordination and networking;
- Dissemination and outreach activities.

Twinning Call 2017:

Budget: €20 million
Call publication: 14 October 2015
Call opening: 11 May 2017
Call deadline: 15 November 2017
Project Size: up to €1 million (eligibility condition)
Project Duration: up to 3 years (eligibility condition)

Results from Twinning 2014: 1 successful proposal out of 21 submitted - MaXIMA, 'Three dimensional breast cancer models for X-ray Imaging research' (by the Technical University of Varna)

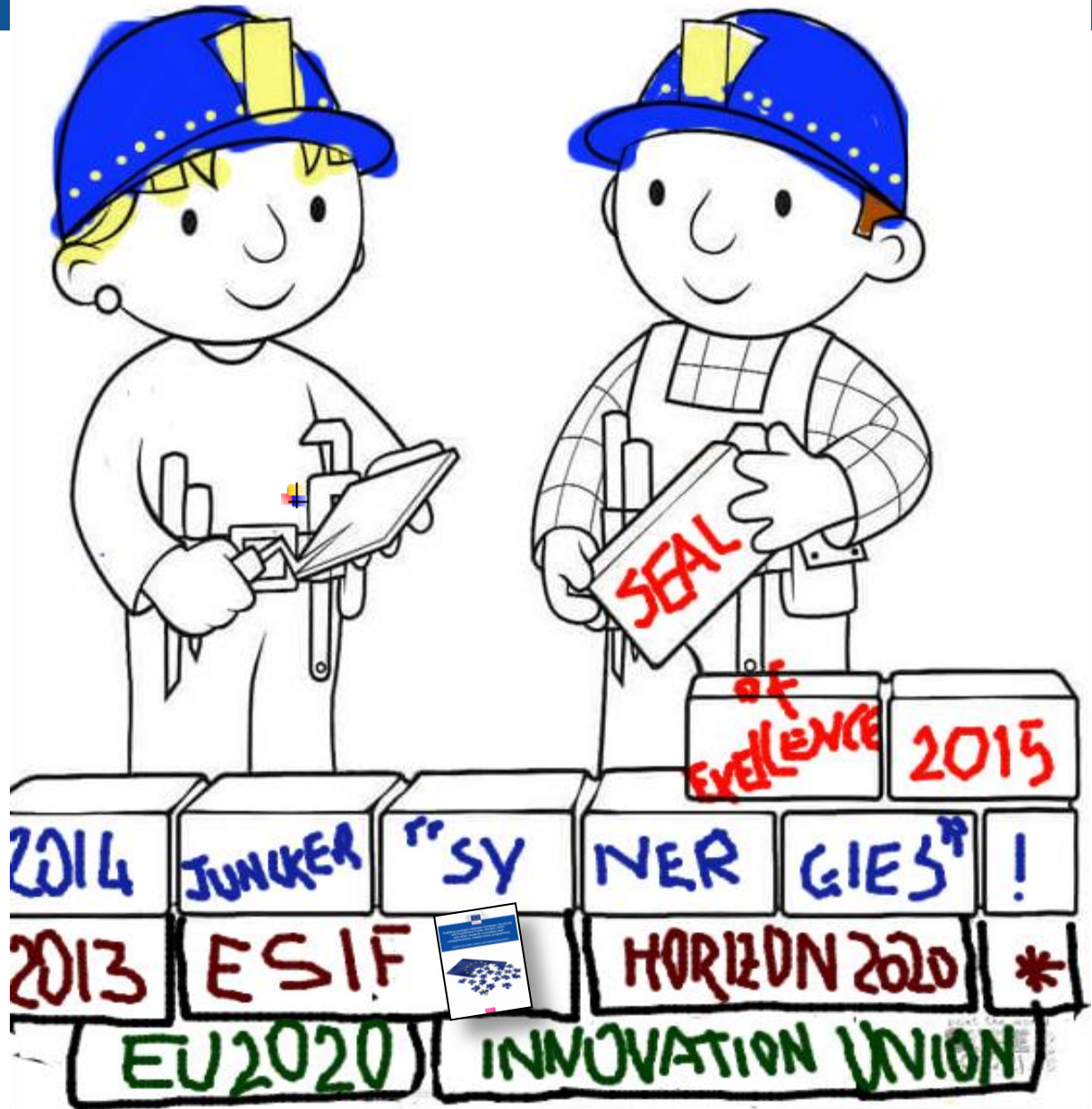


**BUILDING
SYNERGIES
TO MAXIMISE
QUANTITY,
QUALITY AND
IMPACT OF
RESEARCH AND
INNOVATION
INVESTMENTS
FOR JOBS AND
GROWTH**

**POLITICAL
MANDATE ->**

LEGAL BASIS ->

COMMITMENT ->



THE SEAL OF EXCELLENCE

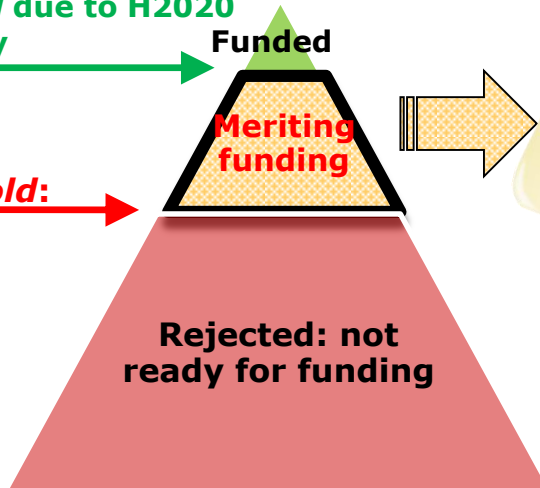
Key Features



Horizon 2020 evaluation:

Funding threshold due to H2020 budget availability

Quality threshold:



Excellent proposals receive the SEAL OF EXCELLENCE certificate



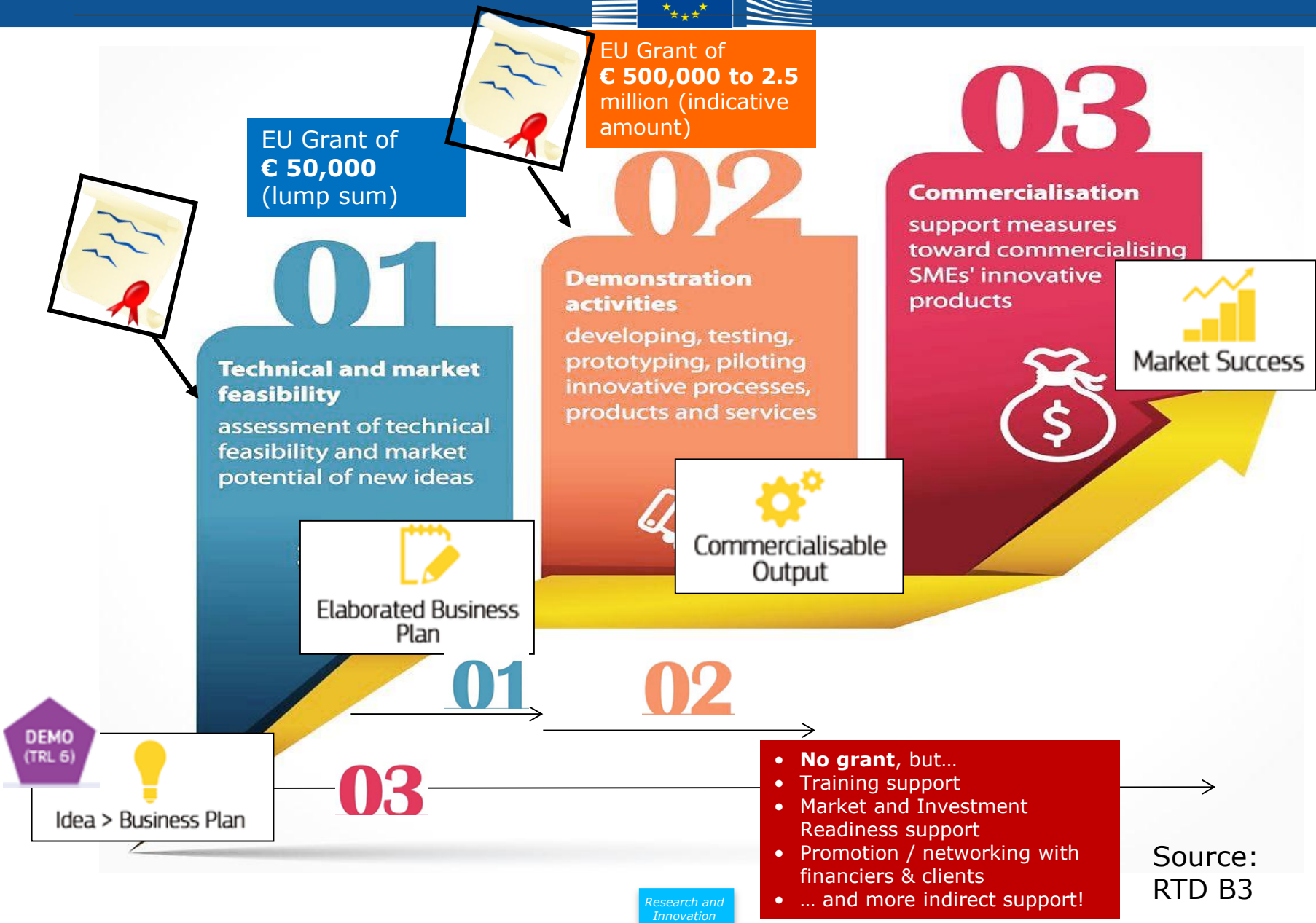
Pilot using the SME Instrument

- ✓ Close to intervention logic of ESIF authorities
- ✓ Single company
- ✓ Small scale R&I actions
- ✓ Close to market

Regions/MS taking-up 'seal of excellence' proposals:

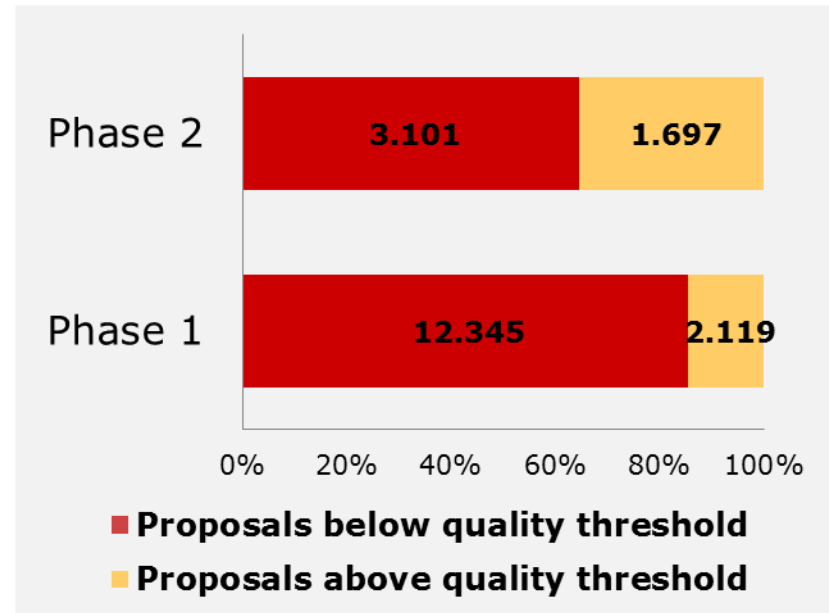
- ✓ Benefit from pre-screening by H2020
- ✓ Make the most of a unique, high quality evaluation process
- ✓ Better use the resources
- ✓ Invest on high local impact proposals

The SME INSTRUMENT AND THE SEAL: Who - When

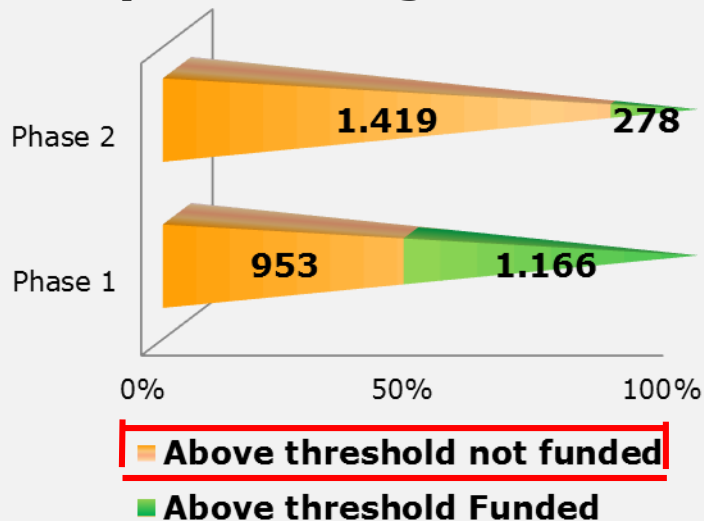


**Highly popular: 14 464
(Phase 1); 4798 (Phase 2)**

Very Competitive:



Many deserving, few funded:



**More than 2300 are NOT funded
(these have by now all received the Seal
of excellence)**

FEATURES OF THE CERTIFICATE



The SEAL OF EXCELLENCE:

- ☐ **Awarded to ALL** qualifying SME instrument **PROPOSALS**
- ☐ **Downloadable** only by the SME/s from 'My area' in Participants Portal
- ☐ **Informs**
- ☐ **Builds reputation**
- ☐ **Explains**
- ☐ **Shows political commitment**
- ☐ **Fraud-proof**
- ☐ **Accompanying letter**

SMEs and THE SEAL

SMEs usually welcome the Seal and understand its value.

- > A great opportunity, but process just starting: be patient!
- > No guarantee of 'automatic' alternative funding and/or to the funding intensity of H2020 / No to be used for DOUBLE FUNDING
- > Search pro-actively
- > Voluntary (no obligation!)
- > Make available to the funder ALL documents at your disposal (Full Proposal, Evaluation Summary Report,...)
- > DIVERSIFY your search for funding, in terms of sources (public (ESIF or own resources) / private) and type (grants / financial instruments)

KEY MESSAGES TO ALTERNATIVE FUNDING SOURCES

- > SoE are excellent projects, not left-overs from Horizon 2020 (strict criteria, international panel, transparent and competitive evaluation)
- > Great opportunity to exploit H2020 first-class evaluation system : leading to gain time, use resources efficiently in a period of scarce resources and increase R&I performance and impact at local level
- > Keep the process as simple as possible: no need to re-evaluate the content
- > Different support can be provided: grants/loans/guarantee/... general support
- > The Commission services support funding bodies interested in taking up these projects, through the **COMMUNITY OF PRACTICE**

The COMMUNITY OF PRACTICE (CoP):

- ✓ **Purpose:** Exchange of know-how on the best ways to implement the 'seal of excellence' approach through ESIF
- ✓ **Membership:** voluntary, restricted to National or Regional authorities that have a funding power and other Funding Agencies for Innovating SMEs (including private banks and investors) **committing to set up 'Seal-friendly' schemes**
- ✓ **Membership as of June 2016: 134 members from 24 MS**
- ✓ **Working Methods:** Regular meetings + IT platform SINAPSE

FOR JOINING : e-mail [RTD-SEAL-OF -EXCELLENCE@ec.europa.eu](mailto:RTD-SEAL-OF-EXCELLENCE@ec.europa.eu)

Links and documents

- **SYNERGIES and SEAL OF EXCELLENCE WEBSITE:**
<http://ec.europa.eu/research/regions/>
- **MORE INFO: email to:** RTD-seal-of-excellence@ec.europa.eu
- **Guide for authorities on synergies between ESIF and Horizon2020 and other EU programmes:**
<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/other/index.html>
- **Horizon 2020 regulations & rules for participation,**
http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html
- **Evaluation procedure of the SME Instrument**
http://ec.europa.eu/research/participants/portal/desktop/en/funding/sme_participation.html